

03-12-01

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No. 91-C-127C1

First Inventor Jia Li

Title METHOD OF FORMING ACTIVE AND ISOLATION AREAS WITH SLIT  
ACTIVE PATTERNING

Express Mail Label No. EL 749593351 US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit on original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Detailed Description of the Drawings (if filed)  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 1]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)  
c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

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Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Attorney  
(when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ 08 / 099,952

Prior application information: Examiner: T. Dang Group / Art Unit: 1104

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

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Signature John T. Mockler Date 9 March 2001

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The PTO did not receive the following  
listed item(s) Fee Transmittal Form

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March 9, 2001

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Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

EXPRESS MAIL RECEIPT No. EL 749593351 US

Re: Continuation Patent Application for "METHOD OF FORMING ACTIVE AND  
ISOLATION AREAS WITH SPLIT ACTIVE PATTERNING"  
(Priority of USSN 08/099,952 filed July 30, 1993)  
Our File: 91-C-127C1 (STMI01-00022)

Dear Sir:

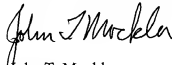
Enclosed please find the following documents for filing:

Express Mail Certificate of Mailing;  
Utility Patent Application Transmittal (Continuation Application);  
Copy of Patent Application as filed in parent application (19 pages including cover sheet);  
Copy of informal drawings (2 sheets) as filed in parent application; and,  
a postcard receipt.

If any problems arise in the filing of the enclosed documents, please contact Daniel E.  
Venglarik at (214) 922-9221.

Very truly yours,

NOVAKOV DAVIS & MUNCK, P.C.

  
John T. Mockler  
Registration No. 39,775

JTM/kl  
Enclosures

## CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence, including the attachments listed, is being deposited with the United States Postal Service, Express Mail - Post Office to Addressee, Receipt No. EL 749593351 US in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date shown below.

March 9, 2001  
Date of Mailing

Kathy Longenecker

Typed or printed name of person mailing

Kathy Longenecker  
Signature of person mailing

### Attachments:

1. Return acknowledgement postcard
2. Utility patent transmittal
3. Fee transmittal
4. 19 pages of the specification - Docket No: 91-C-127C1
5. 2 sheets of drawings
6. Declaration of 1 inventor(s)      Unsigned
7. Recordation form and Assignment(s) from 1 inventor(s) (optional)